

Disclosures by Current Contractors

1. Identify the municipal pension plan(s) for which you are providing information.

City of Scranton Composite Pension Trust:

Police Pension Plan

Firemen's Pension Plan

Non-Uniformed Pension Plan

2. Provide the names and titles of all individuals providing professional services to the City of Scranton pension plan or plans identified above including advisors and subcontractors of the Contractor. After each name provide a description of the responsibilities of that person with regard to the professional services being provided to each designated pension plan.

The Bank of New York Mellon is the Plan's trustee and investment manager.

Gerald R Brazil, First Vice President, Manager of Client Service

Mary C. Blumm, Assistant Vice President: daily administrative services, cash flow and reporting support services for the plan.

Michael Smolinsky, Vice President: investment asset allocation advisor and portfolio manager for the plan

Al Trezza – Investment Strategist

(a) List the names of any of the above individuals who are a current or former official or employee of the City of Scranton and their position;

NONE

(b) List the name of any of the above individuals who has been a registered Federal or Pennsylvania State lobbyist and the date of the most recent renewal/registration. **NONE**

3. Since December 15, 2009, have any of the individuals identified in paragraph 2 above been employed by the City of Scranton. **NO**

(a) If the answer is yes, please identify the individual, his/her position with the municipality and dates of employment.

4. Since December 15, 2009, has the Contractor employed or paid compensation to a third party intermediary, agent or lobbyist to directly or indirectly communicate with any individual on the List of Municipal Officials in connection with any transaction or investment involving the Contractor and the municipal pension system. This question does not apply to any officer or employee of the Contractor who is acting within the scope of the Contractor's standard professional duties on behalf of the Contractor including the actual provision of legal, accounting, engineering, real estate or other professional advice, services or assistance pursuant to its professional services contract with the municipal pension system. **NO**

5. Since December 15, 2009, has any agent, officer, director or employee of the Contractor solicited a third party to make a political contribution to any municipal official or candidate for municipal office in the City of Scranton or to the political party or political action committee of that official or candidate? **NO**

(a) If the answer is yes, please identify: the agent, officer, director or employee who made the solicitation; the individual or individuals who were solicited; and the municipal officers, candidates, political party or political committee for whom the solicitation was made.

6. Since December 15, 2009, has the Contractor made any contribution to a municipal official or candidate for municipal office in the City of Scranton?

NO

(a) If the answer is yes, please identify the recipient, the amount, and the date of the contribution.

7. Does the Contractor have a direct financial, commercial or business relationship with any individual on the List of Municipal Officials?

NO

(a) With respect to every municipal official for which the answer is yes, identify that individual and provide a detailed written description of that relationship.

8. Since December 15, 2009, has the Contractor conferred any gift of more than a nominal value to any individual on the List of Municipal Officials? A gift includes money, services, loans, travel, entertainment, discount, or other things of value. **NO**

(a) With respect to every municipal official for which the answer is yes, identify the recipient, the gift and the date it was conferred.

9. Did the Contractor make political contributions that meet all of the following four criteria: (i) The contribution was made in the last five years, specifically, since December 18, 2004; (ii) The contribution was made by an officer, director, executive-level employee or owner of at least five percent (5%) of the Contractor; (iii) The amount of the contribution was at least \$500.00 in the form of either a single contribution by an officer, director, executive-level employee, or owner of at least five percent (5%) or the aggregate of all contributions by all officers, directors, executive-level employees, and owners of at least five percent (5%);

and (iv) The contribution was made to a candidate for any public office in the Commonwealth or to an individual who holds that office, or to a political committee of a candidate for public office in the Commonwealth or of an individual who holds that office.

YES

(a) If the answer is yes, then the Contractor shall provide the following information: the name and address of the contributor; the contributor's relationship to the Contractor; the name and office or position of each recipient; the amount of the contribution; and the date of the contribution.

| <u>Contributor Name</u> | <u>Address</u> | <u>Relationship to Contractor</u> | <u>Recipient Name</u> | <u>Date</u> | <u>Amount</u> |
|-------------------------|---|--|------------------------------|-------------|---------------|
| PETERS, LISA BAIR | 114 TREE LINE COURT Pittsburgh, PA 15237 | CHIEF HR OFFICER & Executive Committee Member | CORBETT, TOM FRIENDS OF | 10/03/2008 | \$500 |
| PETERS, LISA BAIR | 114 TREE LINE COURT Pittsburgh, PA 15237 | CHIEF HR OFFICER & Executive Committee Member | TURZAI, MIKE FRIENDS OF | 09/26/2008 | \$500 |
| PETERS, LISA BAIR | 114 TREE LINE COURT Pittsburgh, PA 15237 | CHIEF HR OFFICER & Executive Committee Member | MCCORD ROB COM | 09/29/2008 | \$500 |
| PETERS, LISA BAIR | 114 TREE LINE COURT Pittsburgh, PA 15237 | CHIEF HR OFFICER & Executive Committee Member | ONORATO FOR GOVERNOR, LLC | 12/03/2009 | \$500 |
| VON SCHACK, WESLEY W. | 11 MONUMENT LANE BRUNSWICK, ME 04011 | DIRECTOR, BOARD OF DIRECTORS | CORBETT, TOM FOR GOVERNOR | 01/06/2010 | \$1,000 |
| VON SCHACK, WESLEY W. | 11 MONUMENT LANE BRUNSWICK, ME 04011 | DIRECTOR, BOARD OF DIRECTORS | CORBETT, TOM FOR GOVERNOR | 08/26/2010 | \$1,000 |

10. With respect to your provision of professional services to the City of Scranton, are you aware of any apparent, potential or actual conflict of interest with respect to any officer, director or employee of the Contractor and officials or employees of the City of Scranton. **NO**

(a) If the answer is yes, please provide a detailed written explanation of the circumstances which you believe provide a basis to conclude that an apparent, potential or actual conflict of interest may exist.

11. Please provide the name(s) and person(s) completing this Form. One of the individuals identified by the Contractor in paragraph 2 above must participate in completing this Form and must sign the below verification.

Gerald R. Brazil – Director of Client Service

Mary Blumm - Assistant Vice President

Michael Smolinsky - Vice President

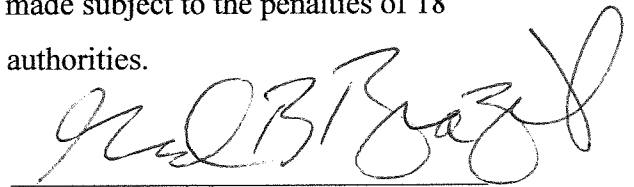
Richard Novak – Compliance Officer

VERIFICATION

I, Gerald R. Brazil hereby state that I am First Vice President for The Bank of New York Mellon, and I am authorized to make this verification.

I hereby verify that the facts set forth in the foregoing Act 44 Disclosure Form for Entities Providing Professional Services to the City of Scranton Pension System are true and correct to the best of my knowledge, information and belief.

I understand that false statements herein are made subject to the penalties of 18 P.A.C.S. § 4904 relating to unsworn falsification to authorities.



Signature

12.12.12

Date